PTO/SB/21 (08-00) Approved for use through 10/31/2002 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/646,230 TRANSMITTAL **FORM** Filing Date August 22, 2003 First Named Inventor Chen (to be used for all correspondence after initial filing) Group Art Unit 2681 **Examiner Name** unassigned Attorney Docket Number 14312US02 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form to Group (for an Application) Fee Attached Drawing(s) (sheets) Appeal Communication to Board Amendment/Reply of Appeals and Interferences Licensing-related Papers After Final Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Proprietary Information Petition to Convert to a **Extension of Time Request Provisional Application** Status Letter **Express Abandonment Request** Power of Attorney, Revocation Other Enclosure(s) (please Change of Correspondence Information Disclosure Address identify below): Statement **Terminal Disclaimer** TO 1449/08A with 47 Return-receipt post card references Request for Refund Certified Copy of Priority CD Number of CD(s) _____ Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts Remarks under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm McAndrews Held & Malloy, Ltd. Individual Name Signature December 1, 2003 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 1, 2003.

| Name (Print/type) | Kevin E. Borg | | Registration No. (Attorney/Agent |) | 51,486 |
|-------------------|---------------|--------|----------------------------------|------|------------------|
| Signature | K | 2-17-3 | | Date | December 1, 2003 |





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In the Applic | eation of: | CERTIFICATE OF MAILING |
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| S | . Chen | I hereby certify that this correspondence is being deposited with the United States |
| Serial No.: | 10/646,230 | Postal Service as first-class mail, postage prepaid, in an envelope addressed to: |
| Filed: | August 22, 2003 | Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: |
| PACI | ILE HANDSET UPDATE KAGE GENERATOR THAT LOYS NODES TECHNIQUE | December 1, 2003. |
| Examiner: | unassigned | Kevin E. Borg Reg. No. 51,486 |
| Group Art U | nit: 2681 |)) |
| | TRANSM | ITTAL OF |

INFORMATION DISCLOSURE STATEMENT AFTER APPLICATION FILING DATE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

ENCLOSURES

Enclosed are the following:

- * A two-page, completed PTO form 1449.
- * Each of the 47 patented references is listed in the enclosed form 1449 and not previously provided to or by the PTO in this application. Copies of the four foreign references are enclosed herewith.

FEE DETERMINATION

- * No fee is believed to be due because:
 - * This statement and enclosures are being filed three months or less after the application was filed.
 - * The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

FEE PAYMENT

The following arrangements have been made to pay the fees calculated above:

* No fee is believed to be due.

The Commissioner is hereby authorized to charge any additional fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

REMARKS

The Applicants neither agree nor disagree with the following notations that accompanied the references listed below in a prior art search performed by Augustine Associates, Ltd., 2981 Fox Tail Court, Woodbridge, VA 22192, dated April 22, 2003:

| 2003/0061384 | "para (0015)" |
|--------------|---|
| 2003/0037075 | "para (0166)" |
| 2003/0033599 | "wireless software download, see (0039)" |
| 2002/0157090 | "update software, Claim 17" |
| 2002/0152005 | "wireless link upgrade" |
| 2002/0131404 | "can be used with games (0004)" |
| 2002/0116261 | "update software (0058)" |
| 2001/0029178 | "Claim 1, upgrade" |
| 6,438,585 | "mobile link" |
| 6,279,153 | "ROM update on wireless link abstract |
| | (170 page patent – front page and claims only provided here)" |
| 6,198,946 | "wireless upgrade" |
| 6,163,274 | "real-time update" |
| 6,126,327 | "flash update |

| | (265 page patent – front page and claims only provided here)" |
|-----------|---|
| 5,790,974 | "'perceptual' software agent that updates stored entries" |
| 5,261,055 | "wireless upgrade" |
| 5,598,534 | "PDA link system" |
| 5,666,293 | "loaded into non-volative[sic] memory. Claims 2 and 3, game system" |

REQUEST FOR CONSIDERATION

This paper and enclosures are believed to be entitled to consideration under 37 C.F.R. § 1.97, based on the facts stated above.

This submission is in no way intended as an admission that the cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicants expressly retain the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial a copy of the enclosed PTO-1449 and return to the applicants to indicate consideration of the enclosed references.

Dated: December 1, 2003 Respectfully submitted,

Kevin E. Borg Reg. No. 51,486 Agent for applicants

16-8-7

McAndrews, Held & Malloy, Ltd. 34th Floor 500 West Madison Street Chicago, IL 60661 (312) 775-8000

| FORM PTO-1449 | SERIAL NO. | CASE NO. |
|--------------------------------------|--------------------|----------------|
| RADE | 10/646,230 | 14312US02 |
| LIST OF PATENTS AND PUBLICATIONS FOR | FILING DATE | GROUP ART UNIT |
| APPLICANT'S INFORMATION DISCLOSURE | August 22, 2003 | 2681 |
| STATEMENT | | |
| (use several sheets if necessary) | APPLICANT(S): Chen | |

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER | DOCUMENT | | | CLASS/ | FILING |
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EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| DEC 0 3 2003 65 | | Page 2 of 2 |
|---------------------------------------|--------------------|----------------|
| FORM PTO-1449 | SERIAL NO. | CASE NO. |
| | 10/646,230 | 14312US02 |
| LIST OF PATENTS AND PUBLIC ATIONS FOR | FILING DATE | GROUP ART UNIT |
| APPLICANT'S INFORMATION DISCLOSURE | August 22, 2003 | 2681 |
| STATEMENT | | |
| (use several sheets if necessary) | APPLICANT(S): Chen | - |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | |
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| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |
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| EXAMINER | DATE CONSIDERED | |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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